

Free Transformations of $S^1 \times S^n$ of Square-free Odd Period

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ABSTRACT. Let n be a positive integer, and let $\ell > 1$ be square-free odd. We classify the set of equivariant homeomorphism classes of free C_ℓ -actions on the product $S^1 \times S^n$ of spheres, up to indeterminacy bounded in ℓ . The description is expressed in terms of number theory.

The techniques are various applications of surgery theory and homotopy theory, and we perform a careful study of h -cobordisms. The $\ell = 2$ case was completed by B. Jahren and S. Kwasik (2011). The new issues for the case of ℓ odd are the presence of nontrivial ideal class groups and a group of equivariant self-equivalences with quadratic growth in ℓ . The latter is handled by the composition formula for structure groups of A. Ranicki (2009).

1. INTRODUCTION

Let $\ell > 1$ be an integer. Consider the ℓ -periodic homeomorphism without fixed points:

$$T_\ell : S^1 \times S^n \rightarrow S^1 \times S^n; (z, x) \mapsto (\zeta_\ell z, x) \quad \text{where } \zeta_\ell := e^{i2\pi/\ell} \in \mathbb{C}.$$

Write \mathcal{A}_ℓ^n for the set of conjugacy classes (C) in $\text{Homeo}(S^1 \times S^n)$ of those cyclic subgroups C of order ℓ without fixed points. B. Jahren and S. Kwasik classified the case $\ell = 2$ [JK11].

Recall the Euler totient function φ is the number of units modulo a given natural number. Let $d > 1$. A partition Q_d^k of \mathbb{Z}_d^\times is given by $[q] = [q']$ if $a^k q \equiv \pm q' \pmod{d}$ for some a . The map $(g \mapsto g^{-1})$ on the cyclic group C_d induces an involution ι on the projective class group $\text{Wh}_0(C_d) := K_0(\mathbb{Z}C_d)/K_0(\mathbb{Z})$ with coinvariants $H_0(C_2; \text{Wh}_0(C_d)) := \text{Wh}_0(C_d)/(1 - \iota)$.

Theorem 1.1 (Classification Theorem). *Let $\ell > 1$ be square-free odd. Then, $\mathcal{A}_\ell^{2k} = \{(T_\ell)\}$ for all $k > 0$ and $\mathcal{A}_\ell^1 = \{(T_\ell)\}$. Otherwise, for each $k > 1$, there is a finite-to-one surjection*

$$\coprod_{1 < d \mid \ell} Q_d^k \times \mathbb{Z}^{(d-1)/2} \times H_0(C_2; \text{Wh}_0(C_d)) \longrightarrow \mathcal{A}_\ell^{2k-1} - \{(T_\ell)\}.$$

The d -indexed terms in the disjoint union have disjoint images. In the d -th image, each point-preimage has cardinality dividing $8 \gcd(k, \varphi(d)/2)$, which has bounded growth in ℓ . In particular, the set \mathcal{A}_ℓ^{2k-1} of free C_ℓ -actions on $S^1 \times S^{2k-1}$ is countably infinite if $k > 1$.

Different preimages have different cardinalities (6.5). For $n = 3$, this theorem answers the existence part of [Sch85, Problem 6.14]; indeterminacy in the uniqueness is at most 16.

Corollary 1.2. *Let $p \neq 2$ be prime. Then, $\mathcal{A}_p^{2k} = \{(T_p)\}$ for all $k > 0$ and $\mathcal{A}_p^1 = \{(T_p)\}$. Otherwise, for any given $k > 1$, there is a finite-to-one surjection*

$$Q_p^k \times \mathbb{Z}^{(p-1)/2} \times H_0(C_2; \text{Cl}_p) \longrightarrow \mathcal{A}_p^{2k-1} - \{(T_p)\}.$$

Each preimage has cardinality dividing $8 \gcd(k, (p - 1)/2)$, which is bounded in p .

Here, Cl_p is the ideal class group of $\mathbb{Z}[\zeta_p]$; the involution ι is induced by $(\zeta_p \mapsto \zeta_p^{-1})$. The three parts are understood by using the quotient manifold M of the free C_p -action, specifically, invariants of the infinite cyclic cover \bar{M} , as follows. The Q_p^k -part is the first Postnikov invariant of \bar{M} . The $\mathbb{Z}^{(p-1)/2}$ -part is a projective ρ -invariant of \bar{M} . The Cl_p -part is the Siebenmann end obstruction of \bar{M} . The indeterminacy $8 \gcd(k, (p - 1)/2)$ is due to ineffective action of the group (quadratic growth in p) of self-homotopy equivalences of M .

Remark 1.3. Consider the ideal class group Cl_p^+ of the real subring $\mathbb{Z}[\zeta_p + \zeta_p^{-1}]$ of $\mathbb{Z}[\zeta_p]$. Write G for the Galois group of $\mathbb{Q}(\zeta_p)$ over \mathbb{Q} . The induced $\mathbb{Z}[G]$ -module map $\text{Cl}_p^+ \rightarrow \text{Cl}_p$ is injective ([Was97, Theorem 4.14]). The norm map $N := 1 + \iota : \text{Cl}_p \rightarrow \text{Cl}_p^+$ is surjective ([Was97, Proof 10.2]). Since the fixed field of the automorphism $\iota \in G$ is $\mathbb{Q}(\zeta_p + \zeta_p^{-1})$, ι induces the identity on Cl_p^+ . Then, ι induces negative the identity on $\text{Cl}_p^- := \text{Cl}_p / \text{Cl}_p^+$, since

$$\iota(I) = N(I) - I \equiv -I \pmod{\text{Cl}_p^+}.$$

Therefore, we obtain an exact sequence of $\mathbb{Z}[G/\iota]$ -modules:

$${}_2(\text{Cl}_p^-) \xrightarrow{\widetilde{1-\iota}} \text{Cl}_p^+ \longrightarrow H_0(C_2; \text{Cl}_p) \longrightarrow \text{Cl}_p^- / 2 \longrightarrow 0.$$

Here, ${}_2A := \{a \in A \mid 2a = 0\}$ denotes the exponent-two subgroup of any abelian group A , and $\widetilde{1-t} := (1-t) \circ s$ is a well-defined homomorphism via a setwise section $s : \text{Cl}_p^- \rightarrow \text{Cl}_p$.

Remark 1.4. The $\text{Cl}_p^-/2$ are only known for $p < 500$ [Sch98]. Even worse, the Cl_p^+ are only known for $p \leq 151$. The Cl_p^+ are *conditionally known* for $157 \leq p \leq 241$ [Mil15], which we denote by $*$, under the Generalized Riemann Hypothesis for the zeta function of the Hilbert class field of $\mathbb{Q}(\zeta_p + \zeta_p^{-1})$. We list these new results of R. Schoof and J. C. Miller:

TABLE 1.1. Cl_p^+ derives from [Mil15, Theorem 1.1]. $\text{Cl}_p^-/2$ derives from Table 4.4 in [Sch98]. For $H_0(C_2; \text{Cl}_p)$, this group vanishes* for the 46 primes $p \leq 241$ not listed.

p	Cl_p^+	$\text{Cl}_p^-/2$	$H_0(C_2; \text{Cl}_p)$
29	0	(2, 2, 2)	(2, 2, 2)
113	0	(2, 2, 2)	(2, 2, 2)
163	(2, 2)*	(2, 2)	$4 \leq \text{order} \leq 16^*$
191	(11)*	0	(11)*
197	0*	(2, 2, 2)	(2, 2, 2)*
229	(3)*	0	(3)*
239	0*	(2, 2, 2)	(2, 2, 2)*

Theorem 1.1 follows from Theorems 1.6 and 1.7 below. Consider complex coordinates

$$S^{2k-1} = \{u \in \mathbb{C}^k \mid u \cdot \bar{u} = 1\}.$$

For any q coprime to any $d > 1$, there is a linear isometry of S^{2k-1} giving a free C_d -action:

$$\Phi_{d,q} : S^{2k-1} \rightarrow S^{2k-1}; (u_1, u_2, \dots, u_k) \mapsto (\zeta_d^q u_1, \zeta_d u_2, \dots, \zeta_d u_k).$$

Note that the quotient manifold $L_{d,q}^{2k-1} := S^{2k-1}/\Phi_{d,q}$ is called *the lens space of type* $(d; q, 1, \dots, 1)$.

Remark 1.5. The products of S^1 with the classical lens spaces

$$\begin{aligned} \Lambda & \text{ of type } (p; q_1, \dots, q_k), \\ \Lambda' & \text{ of type } (p; q'_1, \dots, q'_k), \end{aligned}$$

are distinguished in Corollary 1.2, first by homotopy type in the first factor, and then by homeomorphism type in the other factors, as follows. First, note that Λ

has the homotopy type of $L_{p,q}$, where $q := q_1 \cdots q_k$, and similarly for Λ' with $q' := q'_1 \cdots q'_k$. Furthermore, these types are equal if and only if $[q] = [q']$ in the set Q_p^k [Coh73, (29.4)]. Now, assume $[q] = [q']$, so there exists a homotopy equivalence $f : \Lambda' \rightarrow \Lambda$.

Second, assume $0 = \rho[\Lambda', f] = \rho(\Lambda') - \rho(\Lambda)$, which is independent of the choice of f . Indeed, ρ is an invariant of the h -bordism class of (Λ', f) [AS68, 7.5]. Then, $[\Lambda', f] = [S^1 \times \Lambda', \text{id}_{S^1} \times f]$ in $S_{\text{TOP}}^S(S^1 \times \Lambda)$ maps to zero in $S_{\text{TOP}}^h(S^1 \times \Lambda) \cong \mathbb{Z}^{(p-1)/2}$ (see Corollary 3.6). This kernel is identified with the kernel of $\tilde{L}_{2k}^h(C_p) \rightarrow \tilde{L}_{2k}^p(C_p)$, which is further identified with the following cokernel $\mathcal{H}(C_p)$ arising in the Ranicki-Rothenberg sequence [Bak78]:

$$\mathcal{H}(C_p) := \text{Cok}(\hat{H}_0(C_2; K_0(\mathbb{Z}C_p, \mathbb{Q}C_p)) \rightarrow \hat{H}_0(C_2; \text{Cl}_p)).$$

Thus, the structure $[\Lambda', f]$ lies in the subquotient $\mathcal{H}(C_p)$ of the third factor, that is, $H_0(C_2; \text{Cl}_p)$.

Third, assume the given two-torsion element $[\Lambda', f]$ of $S_{\text{TOP}}^h(\Lambda)$ vanishes in $H_0(C_2; \text{Cl}_p)$. Then, $f : \Lambda' \rightarrow \Lambda$ is h -bordant to the identity map. In particular, Λ' is h -cobordant to Λ . Therefore, they are isometric [Mil66, 12.12]; equivalently, Λ and Λ' are homeomorphic.

For any closed manifold X , consider the set $\mathcal{M}_{\text{TOP}}^{h/s}(X)$ of closed topological manifolds M homotopy equivalent to X up to homeomorphism. The calculation of \mathcal{A}_ℓ reduces to \mathcal{M} .

Theorem 1.6. *Let ℓ be square-free odd. Then, $\mathcal{A}_\ell^{2k} = \{(T_\ell)\}$ for all $k > 0$ and $\mathcal{A}_\ell^1 = \{(T_\ell)\}$. Otherwise, for all $k > 1$, passage to orbit spaces induces a bijection*

$$\mathcal{A}_\ell^{2k-1} - \{(T_\ell)\} \xrightarrow{\approx} \coprod_{1 < d | \ell} \coprod_{[q] \in Q_d^k} \mathcal{M}_{\text{TOP}}^{h/s}(S^1 \times L_{d,q}^{2k-1}).$$

We calculate these \mathcal{M} by methods of surgery theory, and express them with K -theory.

Theorem 1.7. *Let d be square-free odd, q coprime to d , and $k > 1$. There is a surjection*

$$\mathbb{Z}^{(d-1)/2} \times H_0(C_2; \text{Wh}_0(C_d)) \twoheadrightarrow \mathcal{M}_{\text{TOP}}^{h/s}(S^1 \times L_{d,q}^{2k-1}).$$

Any preimage has cardinality dividing $8 \gcd(k, \varphi(d)/2)$, which has bounded growth in d .

Theorem 1.6 and Theorem 1.7 are proven in Section 2 and Section 6, respectively. The difficulty in generalizing Theorem 1.1 to all odd ℓ comes from the proof of Theorem 1.6. When $d > 1$ is *not square-free*, say $d = p^2$, the groups $NK_1(\mathbb{Z}[C_{p^2}])$ are huge: they are closely related to infinitely generated modules

over the Verschiebung algebra of $\mathbb{F}_p[t]$. Nonetheless, there would be two difficulties in handling elements of NK_1 in this paper: topologically, there would be a “relaxation” obstruction to making Proposition 2.2 work, and algebraically, there would be a “homothety” obstruction to making Lemma 4.1 (1) work.

2. CLASSIFICATION OF HOMOTOPY TYPES

The first stage is the homotopy classification of orbit spaces, then analysis of conjugacy.

Proposition 2.1. *Let $S^1 \times S^n$ be an ℓ -fold regular cyclic cover of a topological space M , with $n \geq 1$ and odd $\ell > 1$. Then, M is homotopy equivalent to $S^1 \times S^n$ or $S^1 \times L_{d,q}^n$ with $d|\ell$.*

The degree ℓ must be odd, or else the Klein bottle $M = \mathbb{R}P^2 \# \mathbb{R}P^2$ is a counterexample.

Proof. The regular covering map $S^1 \times S^n \rightarrow M$ has degree $\ell > 1$. Since ℓ is odd, the quotient manifold M is oriented. If $n = 1$, then M must be homeomorphic to the torus $S^1 \times S^1$. If $n = 2$, then M must be homotopy equivalent to $S^1 \times S^2$. Thus, we now assume $n \geq 3$.

The covering map $S^1 \times S^n \rightarrow M$ has covering group C_ℓ . Write $\Gamma := \pi_1(M)$ for the fundamental group of the quotient space. The exact sequence of homotopy groups contains

$$1 \longrightarrow C_\infty \xrightarrow{\iota} \Gamma \xrightarrow{\varphi} C_\ell \longrightarrow 1.$$

Write $T \in \Gamma$ for the image under ι of a generator of C_∞ . Select an element $S \in \Gamma$ such that S maps under φ to a generator s of C_ℓ . Define a setwise section

$$\sigma : C_\ell \rightarrow \Gamma; s^b \mapsto S^b \quad \text{for all } 0 \leq b < \ell.$$

In general, for a group extension equipped with a setwise section, one has that $\Gamma = (\text{Im } \iota)(\text{Im } \sigma)$. Then, for each $x \in \Gamma$, we obtain the normal form $x = T^a S^b$ for some $a \in \mathbb{Z}$ and $0 \leq b < \ell$. Note $S^{-1}TS \in \{T, T^{-1}\}$. If $S^{-1}TS = T^{-1}$, then $S^{-\ell}TS^\ell = T^{(-1)^\ell}$, but $S^\ell \in \text{Ker } \varphi = \text{Im } \iota$ and ℓ is odd, so $T = T^{-1}$, a contradiction. Hence, $TS = ST$; therefore, Γ is abelian. Hence, we have that $\pi_1(M) = \Gamma \cong C_\infty \times C_d$ for some divisor d of ℓ (this includes the case of $d = 1$).

There exists a corresponding infinite cyclic cover \bar{M} with covering translation $t : \bar{M} \rightarrow \bar{M}$. There is a bundle sequence $\mathbb{R} \rightarrow \text{Torus}(t) \rightarrow M$, with total space the mapping torus of t .

Observe that \bar{M} is a PD_n -complex, since the PD_n -complex $\mathbb{R} \times S^n$ is its universal cover with finite covering group $\pi_1(\bar{M}) = C_d$. Also, for any PD_n -complex X with $n \geq 3$ and $\bar{X} \simeq S^n$, Wall showed that the first Postnikov invariant $k_1(X) : K(\pi_1 X, 1) \rightarrow K(\mathbb{Z}, n + 1)$ is a generator of abelian group $H^{n+1}(\pi_1 X; \mathbb{Z})$,

and that the oriented homotopy type of X is uniquely determined by the orbit $[k_1(X)]$ under action of the group $\text{Out}(\pi_1 X)$ [Wal67, Theorem 4.3].

If $d = 1$, then \bar{M} is homotopy equivalent to S^n . Otherwise, we assume $d > 1$. Recall the cohomology ring $H^*(C_d; \mathbb{Z}) = \mathbb{Z}[\iota]/(d\iota)$, where ι has degree 2; in particular, $K(C_d, 1)$ has 2-periodic cohomology. However, C_d acts freely on $\mathbb{R} \times S^n \simeq S^n$, so a standard argument with the Leray-Serre spectral sequence shows that $K(C_d, 1)$ has $(n + 1)$ -periodic cohomology. Hence, $n = 2k - 1$ for some $k > 1$. Write $qt^k \in H^{2k}(C_d; \mathbb{Z}) = \mathbb{Z}/d$ for the first Postnikov invariant of \bar{M} ; we have $\text{gcd}(d, q) = 1$. The lens space $L(d; q, 1, \dots, 1)$ also has first Postnikov invariant q , so \bar{M} must be homotopy equivalent to $L_{d,q}^{2k-1} = L(d; q, 1, \dots, 1)$.

In any of these cases of d and q , there exist a closed n -manifold L and a homotopy equivalence $h : L \rightarrow \bar{M}$. Select a homotopy inverse $\bar{h} : \bar{M} \rightarrow L$ for h ; consider the oriented homotopy equivalence $\alpha := \bar{h} \circ t \circ h : L \rightarrow L$. By cyclic permutation of factors,

$$\text{Torus}(\alpha) \simeq \text{Torus}(h \circ \bar{h} \circ t) \simeq \text{Torus}(t) \simeq M.$$

Then, on fundamental groups we have $C_d \rtimes_{\alpha\#} C_\infty \cong C_d \times C_\infty$, where $\alpha\# \in \text{Out}(C_d)$ is the induced automorphism on $\pi_1(L)$. Hence, $\alpha\# = \text{id}$, and therefore, $\alpha \simeq \text{id}$ [Coh73, (29.5A)]. □

The linking form on the $(k - 1)$ -st homology group of the infinite cyclic cover \bar{M} is the 1×1 matrix $[q/p] \in \mathbb{Q}/\mathbb{Z}$ [ST80, Section 77: p. 290], which recovers the Postnikov invariant qt^k .

In the sequel, we shall fix $k > 1$ and consider the latter, closed $2k$ -dimensional manifold

$$X_{d,q} := S^1 \times L_{d,q}^{2k-1}.$$

The following definition generalizes the homeomorphism of Jahren-Kwasik [JK11, Section 4]. Write t and s for the usual generators of C_∞ and C_d , respectively. Note $(t^k, s^j) \mapsto (t^k, s^{k+j})$ in $\text{Aut}(C_\infty \times C_d)$ is induced by the well-defined self-homeomorphism (like a Dehn twist):

$$(2.1) \quad \begin{aligned} \varepsilon : X_{d,q} &\rightarrow X_{d,q}; \\ (z, [u_1 : u_2 : \dots : u_k]) &\mapsto (z, [z^{q/d}u_1 : z^{1/d}u_2 : \dots : z^{1/d}u_k]). \end{aligned}$$

This is multiplication by the path

$$[0, 2\pi] \rightarrow \text{GL}_k(\mathbb{C}); \theta \mapsto \text{diag}(e^{\theta iq/d}, e^{\theta i/d}, \dots, e^{\theta i/d}).$$

Proposition 2.2. *Let $f : M \rightarrow X_{d,q}$ be a homotopy equivalence with M a closed manifold. There exists $\delta \in \text{Homeo}(M)$ satisfying a homotopy commutative diagram*

$$\begin{array}{ccc} M & \xrightarrow{f} & X_{d,q} \\ \delta \downarrow & & \downarrow \varepsilon^2 \\ M & \xrightarrow{f} & X_{d,q}. \end{array}$$

Later, in Section 4, we prove Proposition 2.2 based on surgery-theoretic calculations.

Notice that $\pi_1(X_{d,q}) = C_\infty \times C_d$ does not have a unique infinite cyclic subgroup Z of index d ; rather, there are exactly d such subgroups (generated by ts^r with $0 \leq r < d$). Although each Z is normal, none is characteristic: $\text{Aut}(C_\infty \times C_d)$ acts transitively on them.

Corollary 2.3. *Let M be a closed manifold in the homotopy type of $X_{d,q}$. Let Z and Z' be infinite cyclic subgroups of index d in $\pi_1(M)$. Then, $\delta'_\#(Z) = Z'$ for some $\delta' \in \text{Homeo}(M)$.*

Proof. Select a homotopy equivalence $f : M \rightarrow X_{d,q}$. There are integers a and b such that $f_\#(Z)$ and $f_\#(Z')$ are generated by ts^a and ts^b , respectively, in $\pi_1(X_{d,q})$. By Proposition 2.2, there is $\delta \in \text{Homeo}(M)$ with $f \circ \delta \simeq \varepsilon^2 \circ f$. Define $\delta' := \delta^{(b-a)(1-d)/2} \in \text{Homeo}(M)$. Note

$$\begin{aligned} \delta'_\#(f_\#^{-1}(ts^a)) &= f_\#^{-1}(\varepsilon_\#^{(b-a)(1-d)}(ts^a)) \\ &= f_\#^{-1}(ts^{(b-a)(1-d)}s^a) = f_\#^{-1}(ts^b). \end{aligned} \quad \square$$

Proof of Theorem 1.6. Conjugate subgroups of $\text{Homeo}(S^1 \times S^n)$ give homeomorphic orbit spaces. Then, by Proposition 2.1, we can define a function Φ given by homeomorphism classes of homotopy types of orbit spaces:

$$\Phi : \mathcal{A}_\ell^n \rightarrow \mathcal{M}_{\text{TOP}}^{h/s}(S^1 \times S^n) \sqcup \begin{cases} \emptyset & \text{if } n = 1 \text{ or } n = 2k, \\ \coprod_{1 < d | \ell} \coprod_{[q] \in Q_d^k} \mathcal{M}_{\text{TOP}}^{h/s}(X_{d,q}) & \text{if } n = 2k - 1 \geq 3. \end{cases}$$

Note $\Phi\{(T_\ell)\} = \{[S^1 \times S^n]\} = \mathcal{M}_{\text{TOP}}^{h/s}(S^1 \times S^n)$, where the latter equality follows from classification of surfaces if $n = 1$, Thurston’s Geometrization Conjecture if $n = 2$ (see [And04]), and the topological surgery sequence [KS77] if $n \geq 3$ (use [FQ90] if $n = 3$).

First, suppose $n = 1$. Then, as noted above, Φ is constant, and hence surjective. (Since ℓ is odd, only the torus $S^1 \times S^1$ has ℓ -fold cover $S^1 \times S^1$. That is, $\Phi(\mathcal{A}_\ell^1) = \{[S^1 \times S^1]\}$.)

Let $(C) \in \mathcal{A}_\ell^1$. There exists a choice of homeomorphism

$$h : (S^1 \times S^1)/C \rightarrow S^1 \times S^1.$$

Under the quotient map $S^1 \times S^1 \rightarrow (S^1 \times S^1)/C$ composed with h , the image of the fundamental group of $S^1 \times S^1$ is a subgroup Z of index ℓ in $\pi_1(S^1 \times S^1) = \mathbb{Z} \times \mathbb{Z}$. There exists a nontrivial homomorphism $\phi : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}/\ell$ such that $Z = \text{Ker}(\phi)$. Write $a := \phi(1, 0)$ and $b := \phi(0, 1)$. Post-composition with an automorphism of \mathbb{Z}/ℓ preserves the kernel Z , so we may assume that either $a = 1$ or $(a, b) = (0, 1)$. If $a = 1$ then define $A := \begin{bmatrix} 1 & 0 \\ -b & 1 \end{bmatrix}$. If $(a, b) = (0, 1)$ then define $A := \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$. In any case, the unimodular matrix $A \in \text{GL}_2(\mathbb{Z}/\ell)$ carries (a, b) to $(1, 0)$. Observe $(1, 0)$ corresponds to the index ℓ subgroup $\ell\mathbb{Z} \times \mathbb{Z}$. There is $\delta' \in \text{Homeo}(S^1 \times S^1)$ inducing A on fundamental group. Write $h' := \delta' \circ h$. Then, by the lifting property of covering spaces, there exists a commutative diagram

$$\begin{CD} S^1 \times S^1 @>\widehat{h'}>> S^1 \times S^1 \\ @VV/CV @VV/T_\ell V \\ (S^1 \times S^1)/C @>h'>> S^1 \times S^1. \end{CD}$$

The element $\widehat{h'} \in \text{Homeo}(S^1 \times S^1)$ conjugates T_ℓ into C . Therefore, Φ is injective.

Now, suppose $n > 1$ and that the orbit space of $(C) \in \mathcal{A}_\ell^n$ is homeomorphic to $S^1 \times S^n$, say by a homeomorphism h . Since $\pi_1(S^1 \times S^n) = C_\infty$ has a unique subgroup of index ℓ , by the lifting property of covering spaces, there exists a commutative diagram

$$\begin{CD} S^1 \times S^n @>\hat{h}>> S^1 \times S^n \\ @VV/CV @VV/T_\ell V \\ (S^1 \times S^n)/C @>h>> S^1 \times S^n. \end{CD}$$

In other words, there is $\hat{h} \in \text{Homeo}(S^1 \times S^n)$ that conjugates T_ℓ into C . Thus, Φ restricts to

$$\Phi : \mathcal{A}_\ell^n - \{(T_\ell)\} \rightarrow \begin{cases} \emptyset & \text{if } n = 1 \text{ or } n = 2k, \\ \coprod_{1 < d | \ell} \coprod_{[q] \in Q_d^k} \mathcal{M}_{\text{TOP}}^{h/s}(X_{d,q}) & \text{if } n = 2k - 1 \geq 3. \end{cases}$$

Next, we show that Φ is surjective if $n = 2k - 1 \geq 3$. Let M be a closed manifold in the homotopy type of some example $X_{d,q}$, say by a homotopy equivalence

f. There is a pullback diagram of covering spaces

$$\begin{array}{ccc} \hat{M} & \xrightarrow{\hat{f}} & S^1 \times S^n \\ \downarrow & & \downarrow /T_{d,q} \\ M & \xrightarrow{f} & X_{d,q} \end{array}$$

Let $T \neq \text{id}$ be a covering transformation of \hat{M} . Since

$$\mathcal{M}_{\text{TOP}}^{h/s}(S^1 \times S^n) = \{[S^1 \times S^n]\},$$

there is a homeomorphism $h : \hat{M} \rightarrow S^1 \times S^n$. Then, $T_M := h \circ T \circ h^{-1}$ is an element of $\text{Homeo}(S^1 \times S^n)$ of order d without fixed points. Hence, $M = \Phi(T_M)$ and Φ is surjective.

Finally, we show that Φ is injective if $n = 2k - 1 \geq 3$. Let $(C), (C') \in \mathcal{A}_p^n$ have orbit spaces M, M' in the homotopy type of some example $X_{d,q}$. Suppose there is a homeomorphism $h : M' \rightarrow M$. Write $\Pi := \pi_1(S^1 \times S^n)$. Consider the lifting problem

$$\begin{array}{ccc} S^1 \times S^n & \dashrightarrow & S^1 \times S^n \\ p' \downarrow & & \downarrow p \\ M' & \xrightarrow{h} & M. \end{array}$$

By Corollary 2.3, there exists $\delta' \in \text{Homeo}(M)$ such that $\delta'_\#((h \circ p')_\#(\Pi)) = p_\#(\Pi)$. Note $h' := \delta' \circ h : M' \rightarrow M$ satisfies $(h' \circ p')_\#(\Pi) = p_\#(\Pi)$. Then, by the lifting property, there is $\hat{h}' \in \text{Homeo}(S^1 \times S^n)$ covering h' that conjugates C' to C . Therefore, Φ is injective. □

See [Tha10] for the homotopy types of free C_p -actions on products of 1-connected spheres.

3. CLASSIFICATION OF h -COBORDISM TYPES

For the second stage, consider the subgroup $\text{SI}(X)$ of $\text{Wh}_1(\pi_1 X)$ consisting of the Whitehead torsions of *strongly inertial h -cobordisms*, that is, the torsion $\tau(W \rightarrow X)$ of any h -cobordism $(W; X, X')$ such that the map $X' \hookrightarrow W \rightarrow X$ is homotopic to a homeomorphism.

Theorem 3.1. *Let M and X be closed connected topological manifolds of dimension $n \geq 4$. If $n = 4$, then assume $\pi_1 X$ is good in the sense of Freedman-Quinn [FQ90]. If M is homotopy equivalent to X , then $\text{SI}(M) \cong \text{SI}(X)$ as subgroups of $\text{Wh}_1(\pi_1 M) \cong \text{Wh}_1(\pi_1 X)$.*

This theorem is an affirmative answer to a question raised by Jahren-Kwasik [JK15, Section 7]. Later, in Section 5, we shall develop the techniques needed to prove this theorem.

Next, for any compact manifold X , write $S_{\text{TOP}}^{h/s}(X)$ for the set of pairs (M, f) , where M is a compact topological manifold and $f : M \rightarrow X$ is a homotopy equivalence that restricts to a homeomorphism $\partial f : \partial M \rightarrow \partial X$, taken up to s -bordism relative to ∂X . Assuming that the s -cobordism theorem applies, then $[M, f] = [M', f']$ if and only if f' is homotopic to $f \circ h$ relative to ∂X for some homeomorphism $h : M' \rightarrow M$. Then, observe

$$\mathcal{M}_{\text{TOP}}^{h/s}(X) = \text{hMod}(X) \setminus S_{\text{TOP}}^{h/s}(X).$$

Here, $S_{\text{TOP}}^{h/s}(X)$ has a canonical left action by the group $\text{hMod}(X)$, which consists of homotopy equivalences $X \rightarrow X$ restricting to the identity on ∂X , taken up to homotopy rel ∂X .

The first step in proving Theorem 1.7 is an observation of Jahren-Kwasik [JK15, Section 3]. In the definition of $S_{\text{TOP}}^{h/s}(X)$, weaken the equivalence relation “ s -bordism” to “ h -bordism.” Then, the resulting set $S_{\text{TOP}}^h(X)$ has the structure of an abelian group, according to Ranicki [Ran92]. Hence, $S_{\text{TOP}}^h(X)$ is more calculable; it also has a left setwise action of $\text{hMod}(X)$.

Proposition 3.2 (Jahren-Kwasik). *Let X be a closed connected topological manifold of dimension $n \geq 4$. If $n = 4$, then assume $\pi_1 X$ is good in the sense of Freedman-Quinn [FQ90]. The set $S_{\text{TOP}}^{h/s}(X)$ has a canonical right action of the Whitehead group $\text{Wh}_1(\pi_1 X)$, so that*

$$S_{\text{TOP}}^h(X) = S_{\text{TOP}}^{h/s}(X) / \text{Wh}_1(\pi_1 X).$$

The isotropy group of any element $[M, f]$ in $S_{\text{TOP}}^{h/s}(X)$ is the subgroup $f_ \text{SI}(M)$. The forgetful map $S_{\text{TOP}}^{h/s}(X) \rightarrow S_{\text{TOP}}^h(X)$ is equivariant with respect to the left action of $\text{hMod}(X)$.*

Only the isotropy group of $[M, f] = [X, \text{id}]$ is proven in [JK15, Section 3]; we prove the others.

Proof. Recall the canonical left action. Let

$$y \in \text{hMod}(X) \quad \text{and} \quad [M, f] \in S_{\text{TOP}}^{h/s}(X).$$

Define $y \cdot [M, f] := [M, y \circ f]$. The left action on $S_{\text{TOP}}^h(X)$ has the same formula, so the forgetful map is equivariant.

Next, we recall the canonical right action. Let $[M, f] \in S_{\text{TOP}}^{h/s}(X)$ and let $\alpha \in \text{Wh}_1(\pi_1 X)$. By realization, there is an h -cobordism $(W; M, M')$ with torsion $\tau(W \rightarrow M) = f_*^{-1}(\alpha)$. Define

$$[M, f] \cdot \alpha := [M', f \circ (M \leftarrow W \leftarrow M')].$$

This is well defined in $S_{\text{TOP}}^{h/s}(X)$ since $(W; M, M')$ is unique up to homeomorphism rel M . Thus, the forgetful map induces a function

$$S_{\text{TOP}}^{h/s}(X) / \text{Wh}_1(\pi_1 X) \rightarrow S_{\text{TOP}}^h(X),$$

a bijection.

Finally, we determine isotropy groups of the right action. Clearly, $f_* \text{SI}(M)$ fixes $[M, f]$. Suppose $[M, f] \cdot \alpha = [M, f]$. Abbreviate the homotopy equivalence $g_\alpha := (M' \hookrightarrow W \rightarrow M)$. Then, $f \circ g_\alpha$ is s -bordant to f . By the s -cobordism theorem, there exists a homeomorphism $h : M' \rightarrow M$ such that $f \circ g_\alpha$ is homotopic to $f \circ h$. By post-composition with a homotopy inverse $\tilde{f} : X \rightarrow M$ of f , we have g_α is homotopic to h . Therefore, $f_*^{-1}(\alpha) \in \text{SI}(M)$. □

In general, when $X = S^1 \times Y$, the Ranicki-Shaneson decomposition for L^h -groups [Ran73a] induces a corresponding decomposition for the h -structure groups [Ran92, C1].

Proposition 3.3 (Ranicki). *Let Y be a topological space, and let m be an integer. There is a functorial isomorphism of algebraic structure groups:*

$$S_m^h(S^1 \times Y) \cong S_m^h(Y) \oplus S_{m-1}^p(Y).$$

Further, suppose that Y is a closed connected topological manifold of dimension $n - 1$. The total surgery obstruction of Ranicki [Ran92, Theorem 18.5] gives the identifications

$$S_{\text{TOP}}^h(S^1 \times Y) \xrightarrow[\cong]{s} S_{n+1}^h(S^1 \times Y),$$

and

$$S_{\text{TOP}}^h(I \times Y) \xrightarrow[\cong]{s} S_{n+1}^h(Y).$$

Since s exists for all dimensions n , by the Five Lemma applied to the 4-dimensional surgery sequence [FQ90, Section 11.3], we also have these bijections when $n = 4$ and $\pi_1 Y$ is finite.

The next two lemmas determine certain $S_*(Y)$ when Y is a lens space of odd order.

Lemma 3.4. *Let $d > 1$ be odd, select q coprime to d , and let $k > 1$. Then, $S_{2k+1}^{s,h}(L_{d,q}^{2k-1}) = 0$.*

Proof. Write $L^n := L_{d,q}^{2k-1}$. Consider the s - or h -algebraic surgery exact sequence [Ran92]:

$$L_{2k+1}^{s,h}(Cd) \longrightarrow S_{2k+1}^{s,h}(L^n) \longrightarrow H_{2k}(L^n; \mathbb{L}\langle 1 \rangle) \xrightarrow{\sigma_{2k}^{s,h}} L_{2k}^{s,h}(Cd).$$

First, since d is odd, $L_{2k+1}^{s,h}(C_d) = 0$ by Bak's vanishing result [Bak75]. Next, we apply the Atiyah-Hirzebruch spectral sequence to the homological version of the normal invariants:

$$E_{i,j}^2 = H_i(L^n; L\langle 1 \rangle_j) \Rightarrow H_{i+j}(L^n; \mathbb{L}\langle 1 \rangle).$$

The coefficient group $L\langle 1 \rangle_j$ vanishes for $j \leq 0$ or j odd. Otherwise, it either is \mathbb{Z} if $j \equiv 0 \pmod{4}$ or is $\mathbb{Z}/2$ if $j \equiv 2 \pmod{4}$. Note that $\tilde{H}_{\text{even}}(L^n; \mathbb{Z}) = 0$, and, since d is odd, that $\tilde{H}_{\text{even}}(L^n; \mathbb{Z}/2) = 0$. Thus, the diagonal entries $i + j = \text{even}$ are zero except along $i = 0$. Also note that $H_{\text{odd}}(L^n; \mathbb{Z}) \in \{0, \mathbb{Z}/d, \mathbb{Z}\}$, and, since d is odd, that $H_{\text{odd}}(L^n; \mathbb{Z}/2) = 0$. Therefore, since the image of an odd-order group in either \mathbb{Z} or $\mathbb{Z}/2$ is zero, in summary we obtain

$$(3.1) \quad H_{2k}(L^n; \mathbb{L}\langle 1 \rangle) = E_{0,2k}^\infty = E_{0,2k}^2 = L\langle 1 \rangle_{2k} = L_{2k}(1).$$

Thus, the assembly map is injective, $\sigma_{2k}^{s,h} : L_{2k}(1) \rightarrow L_{2k}^{s,h}(C_d)$. Hence,

$$S_{2k+1}^{s,h}(L^n) = 0. \quad \square$$

Lemma 3.5. *Let $d > 1$ be odd, select q coprime to d , and let $k > 1$. Then, $S_{2k}^p(L_{d,q}^{2k-1})$ is free abelian of rank $(d - 1)/2$. Moreover, $\tilde{L}_{2k}^p(C_d) \rightarrow S_{2k}^p(L_{d,q}^{2k-1})$ is injective with finite index.*

Proof. Write $L^n := L_{d,q}^{2k-1}$; consider the p -algebraic surgery sequence [Ran92]:

$$\begin{aligned} H_{2k}(L^n; \mathbb{L}\langle 1 \rangle) &\xrightarrow{\sigma_{2k}^p} L_{2k}^p(C_d) \rightarrow S_{2k}^p(L^n) \\ &\rightarrow H_{2k-1}(L^n; \mathbb{L}\langle 1 \rangle) \xrightarrow{\sigma_{2k-1}^p} L_{2k-1}^p(C_d). \end{aligned}$$

From the proof of Lemma 3.4, the edge map $L_{2k}(1) \rightarrow H_{2k}(L^n; \mathbb{L}\langle 1 \rangle)$ is an isomorphism, so σ_{2k}^p is split injective. Also, σ_{2k-1}^p is zero, since it factors through $L_{2k-1}^h(C_d) = 0$ above. We thus obtain an exact sequence of abelian groups:

$$0 \rightarrow \tilde{L}_{2k}^p(C_d) \rightarrow S_{2k}^p(L^n) \rightarrow H_{2k-1}(L^n; \mathbb{L}\langle 1 \rangle) \rightarrow 0.$$

Since $\mathbb{R}C_d = \mathbb{R} \times \prod^{(d-1)/2} \mathbb{C}$ as rings, the reduced L -group $\tilde{L}_{2k}^p(C_d)$ is free abelian of rank $(d - 1)/2$, and it is detected by the projective multi-signature [Bak78]. From the same Atiyah-Hirzebruch spectral sequence as in the proof of Lemma 3.4, since d is odd, note the following:

$$\begin{aligned} E_{i,j}^2 = H_i(L^n; L\langle 1 \rangle_j) &= \begin{cases} \mathbb{Z} & \text{if } i = 2k - 1, \text{ and } 4 \text{ divides } j > 0, \\ \mathbb{Z}/d & \text{if } 0 < i < 2k - 1 \text{ odd, } 4 \text{ divides } j > 0, \\ 0 & \text{otherwise,} \end{cases} \\ &\rightarrow H_{i+j}(L^n; \mathbb{L}\langle 1 \rangle). \end{aligned}$$

Then, each $E_{i,j}^\infty$ is either zero or \mathbb{Z}/δ with $\delta|d$.

Thus, it follows that $H_{2k-1}(L^n; \mathbb{L}(1))$ is a finite abelian group of odd order.¹ Therefore, it remains to show that $S_{2k}^p(L^n)$ has no odd torsion.

The function $S_{\text{TOP}}^s(L^n) \rightarrow \mathbb{Q} \otimes_{\mathbb{Z}} R_G^{(-1)^k}$, defined by the difference of ρ -invariants, was shown by Wall to be injective [Wal99, Theorem 14E.7].² Later, Macko-Wegner promoted this function to a homomorphism of abelian groups and re-proved its injectivity [MW11, Theorem 5.2]. Therefore, $S_{\text{TOP}}^s(L^n)$ is free abelian. Then, by the Ranicki-Rothenberg exact sequences [Ran92, p. 327], $S_{2k}^s(L^n) \rightarrow S_{2k}^h(L^n)$ and $S_{2k}^h(L^n) \rightarrow S_{2k}^p(L^n)$ have kernels and cokernels of exponent two, and so $S_{2k}^p(L^n)$ has no odd torsion; it is free abelian of rank $(d-1)/2$. \square

Corollary 3.6. *Let $d > 1$ be odd, select q coprime to d , and let $k > 1$. Then, the group $S_{\text{TOP}}^h(S^1 \times L_{d,q}^{2k-1})$ is free abelian of rank $(d-1)/2$. Moreover, the component homomorphism $\tilde{L}_{2k}^p(C_d) \rightarrow L_{2k+1}^h(\pi_1 X_{d,q}) \rightarrow S_{\text{TOP}}^h(X_{d,q})$ of Wall realization is injective with finite index.*

Proof. This is immediate from Proposition 3.3, Lemma 3.4, and Lemma 3.5. \square

4. APPLICATION TO THE “DEHN TWIST” HOMEOMORPHISM

Fix $n = 2k - 1 \geq 3$. Recall the self-homeomorphism ε of $X_{d,q} = S^1 \times L_{d,q}^n$ in equation (2.1).

Lemma 4.1. *Let $d > 1$ be an odd integer, and select q coprime to d . We have the following:*

- (1) *The self-map ε induces the identity map on $\text{Wh}_1(\pi_1 X_{d,q})$ if d is square-free.*
- (2) *The self-map ε induces the identity map on $S_{\text{TOP}}^h(X_{d,q})$.*
- (3) *The self-map ε^2 induces the identity map on $S_{\text{TOP}}^s(X_{d,q})$.*

The $d = 2$ case for part (2) was a key technical assertion of Jahren-Kwasik [JK11, Section 4].

Remark 4.2. Milnor [Mil66, 1.6] falsely claimed $SK_1(\mathbb{Z}G) = 0$ for all finite abelian groups G ; when $G = C_{p^2} \times C_{p^2}$, this SK_1 -group is isomorphic to $(\mathbb{Z}/p)^{p-1}$ [Oli88, 9.8 (ii)]. However, it holds for all finite cyclic groups $G = C_n$ by Bass-Milnor-Serre [Bas68, XI:7.3], and so the determinant map $K_1(\mathbb{Z}C_n) \rightarrow (\mathbb{Z}C_n)^\times$ is an isomorphism. By a theorem of Higman [Bas68, XI:7.1a], the torsion subgroup of $(\mathbb{Z}C_n)^\times$ is $\pm C_n$. Hence, $\text{Wh}_1(C_n)$ is free abelian. Consequently, the proof of [Mil66, Lemma 6.7] still holds in this case, so that the group-ring involution ($g \mapsto g^{-1}$) induces the identity on the Whitehead group $\text{Wh}_1(C_n)$.

¹A more detailed analysis can show furthermore that $H_{2k-1}(L^n; \mathbb{L}(1)) \rightarrow H_{2k-1}(L^n; k\mathbb{O}[\frac{1}{2}])$ is an isomorphism.

²For the case of $k = 2$, we use the *simple homology structure set* of the three-dimensional lens space $L^3 = L(d, q)$.

Proof of Lemma 4.1 (1). On the fundamental group $\pi_1(X_{d,q}) = C_\infty \times C_d$, recall that ε induces $(t^k, s^j) \mapsto (t^k, s^{k+j})$; it is the identity on the subgroup C_d , which is generated by s . Then, by Proposition 5.2(1), we obtain a commutative diagram whose rows are split exact:

$$\begin{CD} 0 @>>> Wh_1(C_d) @>>> Wh_1(\pi_1 X_{d,q}) @>\partial>> Wh_0(C_d) @>>> 0 \\ @. @V \text{id} VV @V \varepsilon_* VV @V \varepsilon_* VV @. \\ 0 @>>> Wh_1(C_d) @>>> Wh_1(\pi_1 X_{d,q}) @>\partial>> Wh_0(C_d) @>>> 0 \end{CD}$$

Here, note that $R := \mathbb{Z}[C_d]$, and $\varepsilon : R[t, t^{-1}] \rightarrow R[t, t^{-1}]$ restricts to ring maps $\varepsilon : R[t^{\pm 1}] \rightarrow R[t^{\pm 1}]$.

Now, the splitting of the epimorphism ∂ of Bass-Heller-Swan [Bas68, XII:7.4] is

$$h : Wh_0(C_d) \rightarrow Wh_1(\pi_1 X_{d,q}); [P] \mapsto [t : P[t, t^{-1}] \rightarrow P[t, t^{-1}]].$$

Here, P is a finitely generated projective R -module. Then, note

$$\varepsilon_*[P] = (\varepsilon_* \circ \partial \circ h)[P] = (\partial \circ \varepsilon_*)[t : P[t, t^{-1}] \rightarrow P[t, t^{-1}]].$$

Since $\varepsilon(t) = st$, and since $\varepsilon(s) = s$ implies

$$(R \hookrightarrow R[t, t^{-1}]) \xrightarrow{\varepsilon} R[t, t^{-1}] = (R \hookrightarrow R[t, t^{-1}]),$$

we have

$$\varepsilon_*[t : P[t, t^{-1}] \rightarrow P[t, t^{-1}]] = [st : P[t, t^{-1}] \rightarrow P[t, t^{-1}]].$$

Recall [Bas68, IX:6.3] the map ∂ in the localization sequence for $R[t] \rightarrow R[t, t^{-1}]$:

$$\varepsilon_*[P] = \partial[st : P[t, t^{-1}] \rightarrow P[t, t^{-1}]] = [\text{Cok}(st : P[t] \rightarrow P[t])] = [P].$$

Thus, $\varepsilon_* = \text{id}$ on $Wh_0(C_d)$. Moreover, in $Wh_1(\pi_1 X_{d,q})$ note

$$\begin{aligned} \varepsilon_*(h[P]) - h[P] &= [s : P \rightarrow P] \in Wh_1(C_d), \\ d \cdot [s : P \rightarrow P] &= [s^d = 1 : P \rightarrow P] = 0. \end{aligned}$$

Thus, since $Wh_1(C_d)$ is torsion-free by Remark 4.2, we obtain

$$\varepsilon_* = \begin{pmatrix} \text{id} & 0 \\ 0 & \text{id} \end{pmatrix} \quad \text{on } Wh_1(\pi_1 X_{d,q}) = Wh_1(C_d) \oplus Wh_0(C_d).$$

Therefore, ε induces the identity automorphism on $Wh_1(\pi_1 X_{d,q})$. □

Proof of Lemma 4.1 (2). By Corollary 3.6, it suffices to show that $\varepsilon_* = \text{id}$ on $L_{2k}^p(C_d)$. Its definition is $\varepsilon_* := B \circ \varepsilon_* \circ \bar{B}$, which is in terms of the induced automorphism $\varepsilon_* : L_{2k+1}^h(C_\infty \times C_d) \rightarrow L_{2k+1}^h(C_\infty \times C_d)$, the epimorphism

$$B : L_{2k+1}^h(C_\infty \times C_d) \rightarrow L_{2k}^p(C_d),$$

and its algebraic splitting $\bar{B} : L_{2k}^p(C_d) \rightarrow L_{2k+1}^h(C_\infty \times C_d)$ (see Theorem 1.1 in [Ran73a]). Then, heavily using Ranicki's notation and slightly modifying his proof of splitness [Ran73b, p. 134], we note

$$\begin{aligned} \varepsilon_*[Q, \varphi] &= (B \circ \varepsilon_* \circ \bar{B})[Q, \varphi] \\ &= B\left[(Q_t \oplus Q_t, \varphi \oplus -\varphi) \oplus \mathcal{H}_\pm(-Q_t); \right. \\ &\quad \left. \Delta_{(Q_t, \varphi)} \oplus -Q_t, \begin{pmatrix} 1 & 0 \\ 0 & st \end{pmatrix} \Delta_{(Q_t, \varphi)} \oplus -Q_t\right] \\ &= \left[B_1^+ \left(\Delta_{(Q, \varphi)} \oplus \Delta_{(Q^*, \psi)}^*, \begin{pmatrix} 1 & 0 \\ 0 & st \end{pmatrix} (\Delta_{(Q, \varphi)} \oplus \Delta_{(Q^*, \psi)}^*)\right), \varphi \oplus -\varphi\right] \\ &\quad \oplus [\mathcal{H}_\pm(-Q)] \\ &= [B_1^+(Q \oplus Q, Q \oplus stQ), \varphi \oplus -\varphi] \oplus [\mathcal{H}_\pm(-Q)] \\ &= [Q, \varphi] \in L_{2k}^p(C_d). \end{aligned}$$

Here, the equivalence classes are of various quadratic forms and formations. We have only used that the $\mathbb{Z}[C_d]$ -algebra map $\varepsilon_\# : \mathbb{Z}[C_d][t, t^{-1}] \rightarrow \mathbb{Z}[C_d][t, t^{-1}]$ is graded of degree 0. \square

Proof of Lemma 4.1 (3). Observe ε_* respects the Ranicki-Rothenberg exact sequence

$$\begin{aligned} \hat{H}^{n+3}(C_2; \text{Wh}_1 X_{d,q}) &\rightarrow S_{\text{TOP}}^s(X_{d,q}) \rightarrow S_{\text{TOP}}^h(X_{d,q}) \\ &\rightarrow \hat{H}^{n+2}(C_2; \text{Wh}_1 X_{d,q}). \end{aligned}$$

In particular, by Corollary 3.6, this restricts to an exact sequence

$$(4.1) \quad 0 \rightarrow H \rightarrow S_{\text{TOP}}^s(X_{d,q}) \rightarrow K \rightarrow 0$$

with H finite abelian and K free abelian. By Lemma 4.1 (1)–(2), $\varepsilon_* = \text{id}$ on H and K . Hence,

$$\varepsilon_* = \begin{pmatrix} \text{id}_H & \nu \\ 0 & \text{id}_{tK} \end{pmatrix} \quad \text{on } S_{\text{TOP}}^s(X_{d,q}) = H \oplus tK,$$

where $\nu : K \rightarrow H$ is a component of ε_* and $\iota : K \rightarrow S_{\text{TOP}}^s(X_{d,q})$ is a choice of the right-inverse of $S_{\text{TOP}}^s(X_{d,q}) \rightarrow K$. Since $2H = 0$, note $2\nu = 0$. Hence, $\varepsilon_*^2 = \text{id}$ on $S_{\text{TOP}}^s(X_{d,q})$. \square

We show that the homotopy-theoretic order of ε divides $2d^2$ (see more in the proof of Corollary 6.4).

Lemma 4.3. *The homeomorphism ε^{2d^2} is homotopic to the identity on $X_{d,q} = S^1 \times L^n$.*

Proof. Observe that the d -th power of ε induces the identity on the fundamental group:

$$\begin{aligned} \varepsilon^d : S^1 \times L^n &\longrightarrow S^1 \times L^n; (z, [u_1 : u_2 : \dots : u_k]) \\ &\longmapsto (z, [z^d u_1 : z u_2 : \dots : z u_k]). \end{aligned}$$

Each $1 \leq j \leq k$ has an isotopy of diffeomorphisms that lifts the generator of $\pi_1(SO_3) = C_2$:

$$\begin{aligned} \rho_j : S^1 \times L^n &\longrightarrow S^1 \times L^n; (z, [u_1 : \dots : j : \dots : k]) \\ &\longmapsto (z, [u_1 : \dots : z u_j : \dots : u_k]). \end{aligned}$$

In the proof of [HJ83, Proposition 3.1], Hsiang-Jahren showed that each homotopy class $[\rho_j]$ has order $2d$ in the group $\pi_1(\text{Map } L^n, \text{id})$. As S^1 is a co- H -space and $\text{Diff } L^n$ is an H -space, the two multiplications on $\pi_1(\text{Diff } L^n, \text{id})$ are equal (and abelian), so

$$[\varepsilon^d] = [\rho_1^q \circ \rho_2 \circ \dots \circ \rho_k] = [\rho_1]^q * [\rho_2] * \dots * [\rho_k] \in \pi_1(\text{Diff } L^n, \text{id}).$$

Therefore,

$$[\varepsilon^{2d^2}] = [\varepsilon^d]^{2d} = [\rho_1]^{2dq} [\rho_2]^{2d} \dots [\rho_k]^{2d} = 1 \quad \text{in } \pi_1(\text{Map } L^n, \text{id}). \quad \square$$

Structure sets quantify homeomorphism types within a homotopy type, so we can start, as follows.

Proof of Proposition 2.2. Consider the homotopy equivalence

$$\alpha := \bar{f} \circ \varepsilon^2 \circ f : M \longrightarrow M,$$

where \bar{f} denotes a homotopy inverse for f . By the composition formula for Whitehead torsion [Mil66, Lemma 7.8], by topological invariance [Cha74], and by Lemma 4.1 (1),

$$\begin{aligned} \tau(\alpha) &= \tau(\bar{f}) + \bar{f}_*(\tau(\varepsilon^2) + \varepsilon_*^2 \tau(f)) \\ &= -f_*^{-1} \tau(f) + f_*^{-1}(0 + \tau(f)) = 0 \in \text{Wh}_1(\pi_1 M). \end{aligned}$$

That is, α is a simple homotopy equivalence, and hence it defines an element $[M, \alpha] \in S_{\text{TOP}}^s(M)$.

On the other hand, by Lemma 4.1 (3) and Lemma 4.3, note

$$\begin{aligned} \alpha_* &= \bar{f}_* \circ \varepsilon_*^2 \circ f_* = \bar{f}_* \circ \text{id} \circ f_* = \text{id} : S_{\text{TOP}}^s(M) \rightarrow S_{\text{TOP}}^s(M) \\ \alpha^{d^2} &\simeq \bar{f} \circ \varepsilon^{2d^2} \circ f \simeq \bar{f} \circ \text{id} \circ f \simeq \text{id} : M \rightarrow M. \end{aligned}$$

Then, by Ranicki’s composition formula for simple structure groups [Ran09], note

$$\begin{aligned} d^2[M, \alpha] &= \sum_{j=0}^{d^2-1} [M, \alpha] = \sum_{j=0}^{d^2-1} (\alpha_*)^j [M, \alpha] \\ &= [M, \alpha^{d^2}] = [M, \text{id}] = 0 \in S_{\text{TOP}}^s(M). \end{aligned}$$

By equation (4.1) and Corollary 3.6, $S_{\text{TOP}}^s(M) \cong S_{\text{TOP}}^s(X_{d,q})$ is a sum of copies of $\mathbb{Z}/2$ and \mathbb{Z} . Thus, since d is odd, we must have $[M, \alpha] = 0$. That is, α is s -bordant to the identity. Therefore, by the s -cobordism theorem, α is homotopic to a self-homeomorphism δ . □

5. CLASSIFICATION OF HOMEOMORPHISM TYPES

We resume with the calculation of the isotropy subgroups $\text{SI}(M)$ from Proposition 3.2. Understood in the context of an abelian group A with involution $*$, we consider subgroups

$$\begin{aligned} (-1)^n\text{-symmetrics} &:= \{a \in A \mid a = (-1)^n a^*\}, \\ (-1)^n\text{-evens} &:= \{b + (-1)^n b^* \mid b \in A\}. \end{aligned}$$

Furthermore, for use later, we abbreviate symmetric and evens as, respectively,

$$(+1)\text{-symmetrics} \quad \text{and} \quad (+1)\text{-evens},$$

and skew-symmetric and skew-evens as, respectively,

$$(-1)\text{-symmetrics} \quad \text{and} \quad (-1)\text{-evens}.$$

Proposition 5.1. *Let M be a closed connected topological manifold of dimension $n \geq 4$. If $n = 4$, then assume $\pi_1 M$ is good in the sense of Freedman-Quinn [FQ90].*

- (1) *With respect to the standard involution on $\text{Wh}_1(\pi_1 M)$ given by $(g \mapsto g^{-1})$,*

$$(-1)^n\text{-evens} \leq \text{SI}(M) \leq (-1)^n\text{-symmetrics}.$$

Hence, $\text{SI}(M)/(-1)^n\text{-evens} \leq \hat{H}^n(C_2; \text{Wh}_1(\pi_1 M))$, which is a sum of copies of $\mathbb{Z}/2$.

- (2) *This quotient is expressible in structure groups (add by stacking in the I-coordinate):*

$$\text{Cok}(S_{\text{TOP}}^s(M \times I) \rightarrow S_{\text{TOP}}^h(M \times I)) \xrightarrow[\cong]{\text{tors}} \frac{\text{SI}(M)}{(-1)^n\text{-evens}}.$$

This quantification generalizes a specific argument given by Jahren-Kwasik [JK15, Section 7]. Our structure sets are “rel ∂ ” (homeomorphism on the unspecified boundary [Wal99, Section 0]).

Proof of Proposition 5.1 (1). Let $\alpha \in \text{SI}(M)$. There is a strongly inertial h -cobordism $(W; M, M')$ such that $\alpha = \tau(W \rightarrow M)$. By the composition formula [Mil66, Lemma 7.8],

$$0 = \tau(\text{id}_M) = \tau(M \hookrightarrow W \rightarrow M) = \tau(W \rightarrow M) + (W \rightarrow M)_* \tau(M \hookrightarrow W).$$

Next, by Milnor duality [Mil66, Section 10], note

$$\tau(M' \hookrightarrow W) = (-1)^n \tau(M \hookrightarrow W)^*.$$

Finally, since the h -cobordism is strongly inertial, by Chapman’s topological invariance of Whitehead torsion [Cha74], by the composition formula again, and by substitution, note

$$\begin{aligned} 0 &= \tau(M' \hookrightarrow W \rightarrow M) = \tau(W \rightarrow M) + (W \rightarrow M)_* \tau(M' \hookrightarrow W) \\ &= \alpha + (-1)^n (W \rightarrow M)_* \tau(M \hookrightarrow W)^* = \alpha - (-1)^n \alpha^*. \end{aligned}$$

Thus, $\text{SI}(M) \leq (-1)^n$ -symmetrics in $\text{Wh}_1(\pi_1 M)$.

We let $\beta \in \text{Wh}_1(\pi_1 M)$. There exists an h -cobordism $(W'; M, M'')$ with $\beta = \tau(W' \rightarrow M)$. Consider the *untwisted double*

$$W := W' \cup_{M''} -W'.$$

To avoid confusion, we denote $\partial W =: -M_0 \sqcup M_1$ with the canonical homeomorphisms $M_i \approx M$ understood. Note that $(W; M_0, M_1)$ is a strongly inertial h -cobordism, since $(W' \rightarrow M'' \hookrightarrow W')$ is homotopic to the identity:

$$\begin{aligned} (M_1 \hookrightarrow W \rightarrow M_0) &= (M_1 \hookrightarrow -W' \rightarrow M'' \hookrightarrow W' \rightarrow M_0) \\ &\simeq (M_1 \hookrightarrow -W' \overset{\text{flip}}{\approx} W' \rightarrow M_0) \\ &\simeq (M_1 \overset{\text{id}}{\approx} M_0). \end{aligned}$$

Using the above techniques, this doubled h -cobordism has Whitehead torsion

$$\begin{aligned} \tau(W \rightarrow M_0) &= \tau(W \rightarrow W' \rightarrow M_0) \\ &= \tau(W' \rightarrow M_0) + (W' \rightarrow M_0)_* \tau(W \rightarrow W') \\ &= \beta + (M'' \hookrightarrow W' \rightarrow M_0)_* \tau(-W' \rightarrow M'') \\ &= \beta + (-1)^n \tau(-W' \rightarrow M_1)^* \\ &= \beta + (-1)^n \beta^*. \end{aligned}$$

In the third step, we could excise \dot{W}' since $W \rightarrow W'$ is the identity on W' , whose mapping cone consists of elementary expansions. Thus, $SI(M) \geq (-1)^n$ -evens in $Wh_1(\pi_1 M)$. \square

Proof of Proposition 5.1 (2). Let $f : (W; M_0, M_1) \rightarrow M \times (I; 0, 1)$ be a homotopy equivalence of manifold triads such that the restriction $\partial f : \partial W \rightarrow M \times \partial I$ is a homeomorphism. Since $f : W \rightarrow M \times I$ represents the retraction $W \rightarrow M_0$, the h -cobordism $(W; M_0, M_1)$ is strongly inertial. Then, assuming the identification $\partial_0 f : M_0 \rightarrow M$, we have

$$\tau(f) = \tau(W \rightarrow M_0) \in SI(M).$$

Now, we suppose that $F : (V; W, W') \rightarrow M \times I \times (I; 0, 1)$ is an h -bordism, relative to $M \times \partial I \times I$, existing from f to another such homotopy equivalence $f' : (W'; M'_0, M'_1) \rightarrow M \times (I; 0, 1)$ of triads. By the composition formula [Mil66, Lemma 7.8], note

$$\begin{aligned} \tau(M_0 \hookrightarrow W \hookrightarrow V) &= \tau(W \hookrightarrow V) + (W \hookrightarrow V)_* \tau(M_0 \hookrightarrow W), \\ \tau(M'_0 \hookrightarrow W' \hookrightarrow V) &= \tau(W' \hookrightarrow V) + (W' \hookrightarrow V)_* \tau(M'_0 \hookrightarrow W'). \end{aligned}$$

As above, $\tau(f') = \tau(W' \rightarrow M'_0)$. Since $\tau(\text{id}_M) = 0$, by [Mil66, Lemma 7.8] again, note

$$\begin{aligned} \tau(M_0 \hookrightarrow W) &= -(M_0 \hookrightarrow W)_* \tau(f), \\ \tau(M'_0 \hookrightarrow W') &= -(M'_0 \hookrightarrow W')_* \tau(f'). \end{aligned}$$

By Milnor duality [Mil66, Section 10], note

$$\tau(W' \hookrightarrow V) = (-1)^{n+1} \tau(W \hookrightarrow V)^*.$$

Then, since $M_0 \approx M'_0$ and since

$$(M_0 \hookrightarrow W \hookrightarrow V) \text{ is homotopic to } (M'_0 \hookrightarrow W \hookrightarrow V),$$

note

$$\begin{aligned} \tau(W \hookrightarrow V) - (M_0 \hookrightarrow V)_* \tau(f) &= (-1)^{n+1} \tau(W \hookrightarrow V)^* - (M'_0 \hookrightarrow V)_* \tau(f'), \\ \tau(f) - \tau(f') &= (M_0 \hookrightarrow V)_*^{-1} (1 + (-1)^n *) \tau(W \hookrightarrow V). \end{aligned}$$

Thus, we obtain a well-defined homomorphism of abelian groups, where addition in this relative structure set is given by stacking homotopy equivalences in the I -coordinate:

$$S^h_{\text{TOP}}(M \times I) \xrightarrow{\text{tors}} \frac{\text{SI}(M)}{(-1)^{n\text{-evens}}}; [f] \mapsto [\tau(f)].$$

Let $\alpha \in \text{SI}(M)$. Then, there exists an h -cobordism $(W; M, M')$ with torsion $\tau(W \rightarrow M) = \alpha$ such that $(M' \hookrightarrow W \rightarrow M)$ is homotopic to a homeomorphism. By first mapping $W \rightarrow M \times \{\frac{1}{2}\}$, and then applying the Homotopy Extension Property with regard to a choice of the above homotopy to a homeomorphism $M' \rightarrow M$ and a choice of homotopy of $(M \hookrightarrow W \rightarrow M)$ to the identity on M , we obtain a homotopy equivalence $f : (W; M, M') \rightarrow M \times (I; 0, 1)$ such that $\partial f : \partial W \rightarrow M \times \partial I$ is the prescribed homeomorphism and $f : W \rightarrow M \times I$ represents $W \rightarrow M$. Then, $[f] \in S^h_{\text{TOP}}(M \times I)$ and $\tau(f) = \alpha$. Therefore, tors is surjective.

Finally, $\text{tors}[f] = 0$ if and only if $f : W \rightarrow M \times I$ is h -bordant to a simple homotopy equivalence (as was done in the proof of Proposition 5.1 (1)). Thus, the kernel of tors is the image of $S^s_{\text{TOP}}(M \times I)$. \square

The homotopy invariance of the subgroup $\text{SI}(X) \leq \text{Wh}_1(\pi_1 X)$ is now a corollary.

Proof of Theorem 3.1. The function tors is a homomorphism with respect to Ranicki's abelian group structure on the structure sets. This follows from the commutative diagram with exact rows (using Proposition 5.1 and Theorem 18.5 of [Ran92]):

$$\begin{array}{ccccc} S^s_{\text{TOP}}(X \times I) & \longrightarrow & S^h_{\text{TOP}}(X \times I) & \xrightarrow{\text{tors}} & \text{SI}(X)/(-1)^{n\text{-evens}} \\ \downarrow s \approx & & \downarrow s \approx & & \downarrow \\ S^s_{n+2}(X \times I) & \longrightarrow & S^h_{n+2}(X \times I) & \xrightarrow{\text{tors}} & \hat{H}^n(C_2; \text{Wh}_1(\pi_1 X)) \\ \uparrow \partial & & \uparrow \partial & & \uparrow \cong \\ L^s_{n+2}(X \times I) & \longrightarrow & L^h_{n+2}(X \times I) & \xrightarrow{\text{tors}} & \hat{H}^{n+2}(C_2; \text{Wh}_1(\pi_1 X)) \end{array}$$

The bottom two squares consist of *homotopy-invariant* functors from the category of spaces to the category of abelian groups; that is, if continuous functions

of spaces are homotopic, then these functors induce equal homomorphisms of abelian groups.

Consider the homotopy class of any continuous function $f : M \rightarrow X$, which induces a homomorphism $f_* : \text{Wh}_1(\pi_1 M) \rightarrow \text{Wh}_1(\pi_1 X)$. By the functoriality of the upper-right corner of the diagram, the induced map

$$f_* : \hat{H}^n(C_2; \text{Wh}_1(\pi_1 M)) \rightarrow \hat{H}^n(C_2; \text{Wh}_1(\pi_1 X))$$

restricts to a map $f_* : \text{SI}(M)/(-1)^n\text{-evens} \rightarrow \text{SI}(X)/(-1)^n\text{-evens}$ of subgroups. Therefore, the induced map $f_* : \text{Wh}_1(\pi_1 M) \rightarrow \text{Wh}_1(\pi_1 X)$ restricts to a map $f_* : \text{SI}(M) \rightarrow \text{SI}(X)$. If f is a homotopy equivalence, then all of these induced maps are isomorphisms. \square

The following proposition is not original; it is merely a record. Recall that $X_{d,q} = S^1 \times L_{d,q}^{2k-1}$.

Proposition 5.2. *Let $d > 1$ be a square-free odd integer. Select an integer q coprime to d . We have the following:*

- (1) *There is a canonical identification*

$$\text{Wh}_1(\pi_1 X_{d,q}) = \text{Wh}_1(C_d) \oplus \text{Wh}_0(C_d).$$

- (2) *The standard involution ($g \mapsto g^{-1}$) on $\text{Wh}_1(\pi_1 X_{d,q})$ restricts to the standard involution on $\text{Wh}_1(C_d)$ and to negative the standard involution on $\text{Wh}_0(C_d)$.*
- (3) *Furthermore, with respect to these restricted involutions,*

$$\frac{\text{Wh}_1(C_d)}{\text{symmetrics}} = 0 \quad \text{and} \quad \frac{\text{Wh}_0(C_d)}{\text{skew-evens}} = H_0(C_2; \text{Wh}_0(C_d)).$$

Proof.

Part (1) is the fundamental theorem of algebraic K -theory [Bas68, XII:7.3, 7.4b] combined with the vanishing of $NK_1(\mathbb{Z}[C_d])$ for d square-free [Har87].

Part (2) is the analysis of the restriction of the overall involution done in page 21 of [Ran73b].

For (3), by Remark 4.2, the group-ring involution ($g \mapsto g^{-1}$) on $\mathbb{Z}[C_d]$ induces the identity on $\text{Wh}_1(C_d)$. Therefore, $\text{Wh}_1(C_d)/\text{symmetrics} = 0$. The assertion about $\text{Wh}_0(C_d)$ is simply the definition of $H_0(C_2; \text{Wh}_0(C_d))$. \square

Corollary 5.3. *Let $d > 1$ be square-free odd, select an integer q coprime to d , and let $k > 1$. Let M be any closed topological manifold in the homotopy type of $X_{d,q}$. We can identify*

$$\frac{\text{Wh}_1(\pi_1 X_{d,q})}{\text{SI}(M)} = H_0(C_2; \text{Wh}_0(C_d)).$$

Proof. By Theorem 3.1, $SI(M) = SI(X_{d,q})$ as subgroups of $Wh_1(\pi_1 X_{d,q})$.

The surgery exact sequence for $X_{d,q} \times I \text{ rel } \partial$ admits forgetful maps of decorations. Consider the commutative diagram with exact rows, which we write schematically:

$$(5.1) \quad \begin{array}{ccccccccc} H_{2k+2} & \longrightarrow & L_{2k+2}^s & \longrightarrow & S^s & \longrightarrow & H_{2k+1} & \longrightarrow & L_{2k+1}^s \\ \parallel & & \downarrow & & \downarrow & & \parallel & & \downarrow \\ H_{2k+2} & \longrightarrow & L_{2k+2}^h & \longrightarrow & S^h & \longrightarrow & H_{2k+1} & \longrightarrow & L_{2k+1}^h. \end{array}$$

By Ranicki’s version of Shaneson’s thesis [Ran73a], Bak’s vanishing result [Bak75], and Bak-Kolster’s vanishing result [BK82, Corollary 4.7], note the computations:

$$\begin{aligned} L_{2k+2}^s(C_\infty \times C_d) &= L_{2k+2}^s(C_d) \oplus L_{2k+1}^h(C_d) = L_{2k+2}^s(C_d), \\ L_{2k+2}^h(C_\infty \times C_d) &= L_{2k+2}^h(C_d) \oplus L_{2k+1}^p(C_d) = L_{2k+2}^h(C_d), \\ L_{2k+1}^s(C_\infty \times C_d) &= L_{2k+1}^s(C_d) \oplus L_{2k}^h(C_d) = L_{2k}^h(C_d), \\ L_{2k+1}^h(C_\infty \times C_d) &= L_{2k+1}^h(C_d) \oplus L_{2k}^p(C_d) = L_{2k}^p(C_d). \end{aligned}$$

Substituting, we may now consider the following commutative diagram of groups:

$$(5.2) \quad \begin{array}{ccccccccc} & & 0 & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & L_{2k+2}^s(C_d)/H_{2k+2} & \longrightarrow & S^s & \longrightarrow & H_{2k+1}/L_{2k}(1) & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \parallel & & \\ 0 & \longrightarrow & L_{2k+2}^h(C_d)/H_{2k+2} & \longrightarrow & S^h & \longrightarrow & H_{2k+1}/L_{2k}(1) & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \hat{H}^{2k+2}(C_2; Wh_1(C_d)) & \longrightarrow & S^h/S^s & \longrightarrow & 0 & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & 0 & & \end{array}$$

Clearly, the right column of (5.2) is exact. Next, the work of Bass-Milnor-Serre showed that $Wh_1(C_d)$ is a free abelian group and that the group-ring involution ($g \mapsto g^{-1}$) on $\mathbb{Z}[C_d]$ induces the identity on $Wh_1(C_d)$ (refer to Remark 4.2). Then, the subgroup of skew-symmetrics in $Wh_1(C_d)$ is zero, and therefore, $\hat{H}^{2k+3}(C_2; Wh_1(C_d)) = 0$. Recall the vanishing result above: $L_{2k+1}^s(C_d) = 0$. Therefore, by the Rothenberg sequence, the left column of (5.2) is exact. Then, finally, a diagram chase in (5.1) shows that the middle column of (5.2) is exact.

The generalized homology of a space cross a circle admits a canonical decomposition:

$$H_{2k+1} = H_{2k+1}(X_{d,q}; \mathbb{L}\langle 1 \rangle) = H_{2k+1}(L_{d,q}^{2k-1}; \mathbb{L}\langle 1 \rangle) \oplus H_{2k}(L_{d,q}^{2k-1}; \mathbb{L}\langle 1 \rangle).$$

By naturality, the assembly map $H_{2k+1} \rightarrow L_{2k+1}^{s,h}$ for $X_{d,q}$ is the direct sum of the assembly maps $H_{2k+1} \rightarrow L_{2k+1}^{s,h} = 0$ and $H_{2k} = L_{2k}(1) \rightarrow L_{2k}^{h,p}$ for $L_{d,q}^{2k-1}$ by (3.1). Thus, the kernel of the assembly map $H_{2k+1} \rightarrow L_{2k+1}^{s,h}$ for $X_{d,q}$ is the summand $H_{2k+1}(L_{d,q}^{2k-1}; \mathbb{L}\langle 1 \rangle) \cong H_{2k+1}/L_{2k}(1)$. Therefore, by exactness of rows in (5.1), the top and middle rows of (5.2) are exact.

Thus, by the Nine Lemma, the bottom row of (5.2) is exact. Then, by Proposition 5.1,

$$\frac{SI(X_{d,q})}{\text{evens}} = \hat{H}^{2k+2}(C_2; \text{Wh}_1(C_d)) = \frac{\text{symmetrics in Wh}_1(C_d)}{\text{evens in Wh}_1(C_d)}.$$

Therefore, we obtain the formula

$$SI(X_{d,q}) = \text{symmetrics in Wh}_1(C_d) \oplus \text{skew-evens in Wh}_0(C_d).$$

The calculation of $\text{Wh}_1(X_{d,q})/SI(X_{d,q})$ now follows from Proposition 5.2. \square

Remark 5.4. Proposition 3.2, Corollary 3.6, and Corollary 5.3 produce a based bijection

$$\mathbb{Z}^{(d-1)/2} \times H_0(C_2; \text{Wh}_0(C_d)) \xrightarrow{\cong} S_{\text{TOP}}^{h/s}(X_{d,q}).$$

6. COMPUTATION OF THE ACTION OF THE GROUP OF SELF-EQUIVALENCES

For any topological space Z , write $\text{Map}(Z)$ for the topological monoid of continuous self-maps $Z \rightarrow Z$. Recall that $\text{hMod}(Z) \subset \pi_0 \text{Map}(Z)$ is the group of homotopy classes of self-homotopy equivalences. A pair (X_1, X_2) of based topological spaces satisfies the *Induced Equivalence Property* if

$$[f] \in \text{hMod}(X_1 \times X_2) \Rightarrow [p_j \circ f \circ i_j] \in \text{hMod}(X_j)$$

for both $j = 1, 2$, with based inclusion $i_j : X_j \rightarrow X_1 \times X_2$ and with projection $p_j : X_1 \times X_2 \rightarrow X_j$. We slightly simplify the following result of P. I. Booth and P. R. Heath [BH90, Corollary 2.8]. Write $[-, -]_0$ for the set of the based homotopy classes of maps preserving basepoint.

Theorem 6.1 (Booth-Heath). *Let X be a connected CW complex equipped with a co-H-space structure, and let Y be a based connected CW complex such that*

$[Y, X]_0 = 0 = [X \wedge Y, X]_0$. If (X, Y) satisfies the Induced Equivalence Property, there is a split exact sequence of groups:

$$1 \rightarrow [X, \text{Map}(Y)]_0 \rightarrow \text{hMod}(X \times Y) \rightarrow \text{hMod}(X) \times \text{hMod}(Y) \rightarrow 1.$$

Corollary 6.2. *Let Y be a nonempty connected CW complex. Suppose that $\pi_1(Y)$ is finite. Then, there is a natural decomposition of groups:*

$$\text{hMod}(S^1 \times Y) = \pi_1 \text{Map}(Y) \rtimes (\text{hMod} S^1 \times \text{hMod} Y).$$

Hence, each element of $\text{hMod}(S^1 \times Y)$ is splittable: it restricts to a self-equivalence of $1 \times Y$.

This is false without the hypothesis, since $\text{hMod}(S^1 \times S^1) = \text{GL}_2(\mathbb{Z}) \not\cong \mathbb{Z} \times (\{\pm 1\} \times \mathbb{Z})$.

Proof of Corollary 6.2. The circle $X = S^1$ is a co- H -space, and it is a model of $K(\mathbb{Z}, 1)$. Note that $[Y, X]_0 = H^1(Y; \mathbb{Z}) = 0$ and

$$[X \wedge Y, X]_0 = H^1(S^1 \wedge Y; \mathbb{Z}) \cong \tilde{H}_0(Y; \mathbb{Z}) = 0.$$

By Theorem 6.1, it remains to show that (S^1, Y) satisfies the Induced Equivalence Property. Let $f : S^1 \times Y \rightarrow S^1 \times Y$ be a based homotopy equivalence.

On the one hand, to prove that $p_1 \circ f \circ i_1 : S^1 \rightarrow S^1$ is a homotopy equivalence, we must show that induced map on the Hopfian group $\pi_1(S^1) = C_\infty$ is surjective. Since $f_\#$ is surjective, there exists $(a, b) \in \pi_1(S^1) \times \pi_1(Y)$ such that $f_\#(a, b) = (t, 1)$, where t generates $\pi_1(S^1)$. Then, since $\text{Hom}(\pi_1 Y, \pi_1 S^1) = 1$, note $(p_1)_\#(f_\#(1, b)) = 1$. Thus, $(p_1)_\#(f_\#(a, 1)) = t$.

On the other hand, f induces an isomorphism on $\pi_n(S^1 \times Y) = \pi_n(Y)$ for all $n > 1$. Since Y is a CW complex, by the Whitehead theorem, it remains to show that $p_2 \circ f \circ i_2$ is injective on the co-Hopfian group $\pi_1(Y)$. For all $b \in \pi_1(Y)$, recall $(p_1)_\#(f_\#(1, b)) = 1$. Then, $(p_2 \circ f \circ i_2)_\#(b) = 1$ if and only if $f_\#(1, b) = 1$, if and only if $b = 1$, since $f_\#$ is injective. □

Remark 6.3. The corollary below is parallel to $p = 2$; Jahren-Kwasik [JK11, 3.5] showed

$$\begin{aligned} \text{hMod}(S^1 \times \mathbb{R}P^{2k-1}) = & \begin{cases} C_2 \times (C_2)^2 & \text{if } k \equiv 0 \pmod{2} \\ C_2 \times C_4 & \text{if } k \equiv 1 \pmod{2} \end{cases} \\ & \times (C_2 \times C_2). \end{aligned}$$

Unlike below, the first factor (the C_2 on the left) is not represented by a diffeomorphism. The very last C_2 factor is represented by the diffeomorphism that reflects $\mathbb{R}P^n$ in $\mathbb{R}P^{n-1}$.

Corollary 6.4. *Let $d > 1$ be odd, q coprime to d , and $k > 1$. We have a metabelian group*

$$\text{hMod}(S^1 \times L_{d,q}^{2k-1}) = A \rtimes (C_2 \times B),$$

where A is abelian of order $2d^2$, and B is the exponent $e := \gcd(2k, \varphi(d))$ subgroup of $\text{Aut}(C_d)$.³ Furthermore, the subgroup $A \rtimes C_2$ is generated by the three diffeomorphisms

$$\begin{aligned} \rho &: (z, [u]) \mapsto (z, [zu_1 : u_2 : \dots : u_k]) \\ \varepsilon &: (z, [u]) \mapsto (z, [z^{q/d}u_1 : z^{1/d}u_2 : \dots : z^{1/d}u_k]) \\ \bar{} \times \text{id}_{L^n} &: (z, [u]) \mapsto (\bar{z}, [u]). \end{aligned}$$

Proof. Since the fundamental group $\pi_1(L^n) = C_d$ is finite, by Corollary 6.2, we have

$$\text{hMod}(S^1 \times L^n) = \pi_1 \text{Map}(L^n) \rtimes (\text{hMod } S^1 \times \text{hMod } L^n).$$

The subgroup $\text{hMod}(S^1)$ is generated by the homotopy class of the diffeomorphism $\bar{} \times \text{id}_{L^n}$. Since d is odd, by [Coh73, (29.5)], any homotopy equivalence $h : L^n \rightarrow L^n$ is classified uniquely by the induced automorphism $h_\# : s \mapsto s^a$ on $\pi_1(L^n)$ where $a^k \equiv \deg(h) \pmod{d}$ and $\deg(h) = \pm 1$; any a with $a^k \equiv \pm 1 \pmod{d}$ is induced by an equivalence $h_a : L^n \rightarrow L^n$. That is, since $a^k \equiv \pm 1 \pmod{d}$ if and only if $a^{2k} \equiv 1 \pmod{d}$, the homomorphism

$$\# : \text{hMod}(L^n) \rightarrow \text{Out}(\pi_1 L^n) = \text{Out}(C_d)$$

is injective with image the subgroup B of exponent e .

Consider then the fibration sequence $\text{Map}_0(L^n) \rightarrow \text{Map}(L^n) \rightarrow L^n$, where $\text{Map}_0 \subseteq \text{Map}$ is the topological submonoid of basepoint-preserving self-maps. Since $\pi_2(L^n) = 0$, and since any unbased homotopy between two based self-maps of a connected CW complex is relatively homotopic to a based homotopy, there is an exact sequence of abelian groups:

$$1 \longrightarrow \pi_1 \text{Map}_0(L^n) \longrightarrow \pi_1 \text{Map}(L^n) \longrightarrow \pi_1(L^n) \longrightarrow 1.$$

On the one hand, Hsiang-Jahren [HJ83, Proposition 3.1] showed that the forgetful map $\pi_1 \text{Diff}_0(L^n) \rightarrow \pi_1 \text{Map}_0(L^n)$ is surjective with image of order $2d$ generated by the based homotopy class $[\rho]_0$ of the diffeomorphism ρ . On the other hand, since $\varepsilon_\#(t) = ts$, the unbased homotopy class $[\varepsilon]$ of the diffeomorphism ε maps to the generator s of $\pi_1(L^n)$. Therefore, $\pi_1 \text{Map}(L^n)$ is an abelian group of order $2d^2$ generated by $[\rho]_0$ and $[\varepsilon]$. □

³ Classically, it is known that $\text{Aut}(C_d)$ has order $\varphi(d)$. If d is an odd-prime power, then $\text{Aut}(C_d)$ is cyclic. Conversely, $\text{Aut}(C_d)$ contains a product of copies of C_2 , one for one for each odd-prime factor of d , such as $\text{Aut}(C_{15}) = C_2 \times C_4$.

To find $\mathcal{M}_{\text{TOP}}^{h/s}(X_{d,q})$, we now compute the action of the group $\text{hMod}(X_{d,q})$ on $S_{\text{TOP}}^{h/s}(X_{d,q})$.

Proof of Theorem 1.7. First, we show the order d^2 subgroup of $\text{hMod}(X_{d,q})$ acts trivially. By the proof of Corollary 6.4, this subgroup is generated by the classes $[\rho^2]$ and $[\varepsilon^2]$ of diffeomorphisms. Let $[M, f] \in S_{\text{TOP}}^{h/s}(X_{d,q})$, and write $\bar{f} : X_{d,q} \rightarrow M$ for a homotopy inverse of $f : M \rightarrow X_{d,q}$. Then, for any element $[\phi] \in \text{hMod}(X_{d,q})$, consider the *pullback* $f^*[\phi] := [\bar{f} \circ \phi \circ f] \in \text{hMod}(M)$. Recall, by Proposition 2.2, that each pullback $f^*[\varepsilon^2]$ is represented by a homeomorphism. Thus, $[\varepsilon^2]$ acts trivially on the hybrid structure set $S_{\text{TOP}}^{h/s}(X_{d,q})$.

The overall argument for $[\rho^2]$ is similar to but slightly simpler than that of $[\varepsilon^2]$ in Section 4. By the composition formula for Whitehead torsion, by Lemma 7.8 of [Mil66], and since $\rho_{\#} = \text{id}$,

$$\begin{aligned} \tau(f^* \rho) &= \tau(\bar{f}) + \bar{f}_*(\tau(\rho) + \rho_* \tau(f)) \\ &= -f_*^{-1} \tau(f) + f_*^{-1}(0 + \tau(f)) = 0 \in \text{Wh}_1(\pi_1 M). \end{aligned}$$

Thus, $[M, f^* \rho] \in S_{\text{TOP}}^s(M)$. Much as in Proposition 3.3, there is a direct sum decomposition

$$S_{\text{TOP}}^s(X_{d,q}) \cong S_{\text{TOP}}^s(I \times L^n) \oplus S_{\text{TOP}}^h(L^n).$$

Since ρ restricts to id on $1 \times L^n \subset S^1 \times L^n$, there is an induced commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & S_{\text{TOP}}^s(I \times L^n) & \xrightarrow{\text{glue}} & S_{\text{TOP}}^s(X_{d,q}) & \xrightarrow{\text{split}} & S_{\text{TOP}}^h(L^n) \longrightarrow 0 \\ & & \downarrow (\rho|)_* & & \downarrow \rho_* & & \downarrow [\rho_*] \\ 0 & \longrightarrow & S_{\text{TOP}}^s(I \times L^n) & \xrightarrow{\text{glue}} & S_{\text{TOP}}^s(X_{d,q}) & \xrightarrow{\text{split}} & S_{\text{TOP}}^h(L^n) \longrightarrow 0. \end{array}$$

The decomposition is compatible with those of $L_*^s(\pi_1 X_{d,q})$ and $H_*(X_{d,q}; \mathbb{L}\langle 1 \rangle)$, inducing

$$\begin{array}{ccccccc} 0 & \longrightarrow & \tilde{L}_{2k}^h(C_d) & \longrightarrow & S_{\text{TOP}}^h(L^n) & \longrightarrow & H_{2k-1}(L^n; \mathbb{L}\langle 1 \rangle) \longrightarrow 0 \\ & & \downarrow [(\rho_{\#})_*] = \text{id} & & \downarrow [\rho_*] & & \downarrow \\ 0 & \longrightarrow & \tilde{L}_{2k}^h(C_d) & \longrightarrow & S_{\text{TOP}}^h(L^n) & \longrightarrow & H_{2k-1}(L^n; \mathbb{L}\langle 1 \rangle) \longrightarrow 0. \end{array}$$

Recall from the proof of Lemma 3.5 that $H_{2k-1}(L^n; \mathbb{L}\langle 1 \rangle)$ is an abelian group annihilated by a power of d . An argument similar to that proof shows that $S_{\text{TOP}}^h(L^n)$

has no “ d -torsion.”⁴ Thus, $[\rho_*] = \text{id}$ on $S_{\text{TOP}}^h(L^n)$. But $S_{\text{TOP}}^s(I \times L^n) = 0$ by Lemma 3.4. Therefore, $\rho_* = \text{id}$ on $S_{\text{TOP}}^s(X_{d,q})$, and then,

$$(f^* \rho^2)_* = \tilde{f}_* \circ (\rho^2)_* \circ f_* = \tilde{f}_* \circ \text{id} \circ f_* = \text{id} : S_{\text{TOP}}^s(M) \rightarrow S_{\text{TOP}}^s(M),$$

$$(f^* \rho^2)^d \simeq \tilde{f} \circ \rho^{2d} \circ f \simeq \tilde{f} \circ \text{id} \circ f \simeq \text{id} : M \rightarrow M.$$

Then, by Ranicki’s composition formula for simple structure groups [Ran09], note

$$d[M, f^* \rho^2] = \sum_{j=0}^{d-1} [M, f^* \rho^2] = \sum_{j=0}^{d-1} (f^* \rho^2)^j_* [M, f^* \rho^2]$$

$$= [M, (f^* \rho^2)^d] = 0 \in S_{\text{TOP}}^s(M).$$

By equation (4.1) and Corollary 3.6, $S_{\text{TOP}}^s(M) \cong S_{\text{TOP}}^s(X_{d,q})$ is a sum of copies of $\mathbb{Z}/2$ and \mathbb{Z} . Thus, $[M, f^* \rho^2] = 0$ since d is odd. That is, $f^* \rho^2$ is s -bordant to id . By the s -cobordism theorem, $f^* \rho^2$ is homotopic to a homeomorphism, and so $[\rho^2]$ acts trivially on $S_{\text{TOP}}^{h/s}(X_{d,q})$. Therefore, from Corollary 6.4, the order d^2 subgroup of $\text{hMod}(X_{d,q})$ acts trivially.

Now, this induces a left action of the quotient group $C_2 \times C_2 \times B$ on the set $S_{\text{TOP}}^{h/s}(X_{d,q})$. Thus, by Remark 5.4, we are done, since this group has order $4e = 8 \text{gcd}(k, \varphi(d)/2)$. □

Remark 6.5. Let $p \neq 2$ be prime. This quotient group *does not act with uniform isotropy*, unlike the order p^2 subgroup. To conclude, we discuss the three generators of $C_2 \times C_2 \times C_e$.

(1) The above methods demonstrate that post-composition with ρ^p is the identity on the h -cobordism structure group. There may be a “cross-effect” on the s -cobordism structure group, that is, a nonzero component of ρ_*^p from the free part of $S_{\text{TOP}}^s(X_{p,q})$ to the 2-torsion part. The author is unaware of the effect within $H_0(C_2; \text{Cl}_p)$ -orbits.

(2) Since complex conjugation $\bar{}$ reverses orientation on the symmetric Poincaré complex $\sigma^*(S^1) \in L^1(C_\infty)$, post-composition with the diffeomorphism $\bar{} \times \text{id}_{L_{p,q}}$ is negation⁵ on the h -cobordism structure group

$$S_{\text{TOP}}^h(X_{p,q}) \xleftarrow{\cong} S_{\text{TOP}}^p(L_{p,q}) = \mathbb{Z}^{(p-1)/2}.$$

⁴This lack of “ d -torsion” is true for the h -structure group, despite that $\tilde{L}_{2k}^h(C_d)$ may now have some 2-torsion.

⁵[JK11, Lemma 3.7] falsely implies that $\bar{} \times \text{id}_{\mathbb{R}\mathbb{P}^n}$ induces the identity on $S_{\text{TOP}}(S^1 \times \mathbb{R}\mathbb{P}^n)$, rather than negation. The proof’s error is that Ranicki’s $\mathbb{L}^{\bar{}}$ -orientation of a manifold is preserved by tangential homotopy equivalences. Call a manifold w_1 -oriented if an orientation is chosen on the $\text{Ker}(w_1)$ -cover [Wal67, p. 216]. The correction is that the $\mathbb{L}^{\bar{}}$ -orientation of a w_1 -oriented manifold is preserved by w_1 -oriented tangential homotopy equivalences [Ran92, 16.16, Appendix A]. For example, the diffeomorphism $\bar{} \times \text{id}_{\mathbb{R}\mathbb{P}^n}$ is tangential with $\mu = +1$ but reverses w_1 -orientation.

Then, $\bar{\cdot} \times \text{id}_{L_{p,q}}$ must act freely away from the $H_0(C_2; \text{Cl}_p)$ -orbit of the basepoint $[X_{p,q}, \text{id}]$ of $S_{\text{TOP}}^{h/s}(X_{p,q})$. But $\bar{\cdot} \times \text{id}_{L_{p,q}}$ must fix $[X_{p,q}, \text{id}]$, since any two homeomorphisms $M \rightarrow X_{p,q}$ are s -bordant.⁶ Thus, $\bar{\cdot} \times \text{id}_{L_{p,q}}$ acts non-uniformly on $S_{\text{TOP}}^{h/s}(X_{p,q})$.

(3) Let a be a primitive e -th root of unity in the field \mathbb{F}_p . Recall, from the proof of Corollary 6.4, that the homotopy equivalence $h_a : L_{p,q} \rightarrow L_{p,q}$ uniquely induces $s \mapsto s^a$ on fundamental group. Note $\text{id}_{S^1} \times h_a : X_{p,q} \rightarrow X_{p,q}$ has zero Whitehead torsion, by the product formula, but the author suspects that $\text{id}_{S^1} \times h_a$ is often non-representable by a homeomorphism of $X_{p,q}$.⁷ On the other hand, the automorphism of $S_{\text{TOP}}^h(X_{p,q})$ induced by $\text{id}_{S^1} \times h_a$ is identified with the automorphism of $S_{\text{TOP}}^p(L_{p,q}) \cong \mathbb{Z}^{(p-1)/2}$ induced by h_a , given by a permutation matrix Π_a of order $e/2$ determined by a . Both these issues complicate the systematic use of Ranicki's composition formula:

$$\begin{aligned} & [(\text{id}_{S^1} \times h_a) \circ (f : M \rightarrow X_{p,q})] \\ &= [\text{id}_{S^1} \times h_a] + \Pi_a[f] \in S_{\text{TOP}}^h(X_{p,q}) \cong \mathbb{Z}^{(p-1)/2}. \end{aligned}$$

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⁶Suppose there exists $[\alpha] \neq 0 \in H_0(C_2; \text{Cl}_p)$, for example if $p = 29$ by Remark 1.4. It is unlikely that $\bar{\cdot} \times \text{id}_{L_{p,q}}$ fixes $[X_{p,q}, \text{id}] \cdot [\alpha]$, since the h -cobordism W_α on $X_{p,q}$ with torsion $\alpha \in \text{Wh}_1(C_\infty \times C_p)$ has projection $\alpha \neq 0 \in \text{Wh}_0(C_p) = \text{Cl}_p$. Thus, the h -cobordism is unlikely splittable along $1 \times L_{p,q}$; compare with [FH73, 6.1, 6.3].

⁷Using a splitting argument along $1 \times L_{p,q}$, if $\text{id}_{S^1} \times h_a$ is homotopic to a homeomorphism, then h_a is h -bordant to a homeomorphism, if and only if the Whitehead torsion $\tau(h_a)$ is divisible by two in $\text{Wh}_1(C_p) \cong \mathbb{Z}^{(p-3)/2}$. Note h_a is homotopic to a homeomorphism if and only if $\tau(h_a) = 0$ [Coh73, Section 31], if and only if $e = 2$ [Coh73, (30.1)].

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